



K20U 0171

Reg. No. :

Name :

VI Semester B.B.A. Degree (CBCSS-Reg./Supple./Improv.)

Examination, April 2020

(2014 Admission Onwards)

Core Course

6B17BBA : CAPITAL MARKET AND INVESTMENT MANAGEMENT

Time : 3 Hours

Max. Marks : 40

SECTION – A

Answer **all** questions. **Each** question carries $\frac{1}{2}$ mark :

1. Type of market in which securities with less than one year maturity are traded is _____
2. Securities Contract Regulation Act was enacted in the year _____
3. OTCEI denotes _____
4. The speculator who buys securities with the expectation of rise in price is _____
($\frac{1}{2} \times 4 = 2$)

SECTION – B

Answer **any 4** questions. **Each** question carries **1** mark :

5. What is prospectus ?
6. What is book building ?
7. What do you mean by debenture ?
8. What do you mean by bear market ?
9. What do you mean by systematic risk ?
10. What do you mean by CAPM ?
($1 \times 4 = 4$)



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SECTION – C

Answer **any 6** questions. **Each** question carries **3** marks :

11. What are the characteristics of derivatives ?
12. What are the development functions of SEBI ?
13. Explain the features of National Stock Exchange.
14. Write a note on different types of underwriting.
15. What are the portfolio selection models ?
16. Discuss the various types of systematic risks.
17. Differentiate between investment and speculation.
18. What are the different approaches of measurement of return ? **(3×6=18)**

SECTION – D

Answer **any 2** questions. **Each** question carries **8** marks :

19. Discuss in detail the recent trends in Indian capital market.
 20. Explain the procedure of public issue through issue of prospectus.
 21. Explain the various securities traded in the stock exchanges. **(8×2=16)**
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